

**Complaints - Stock Broker**

**Data for month ending - December 2021**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days )
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	3	16	19	18	1	0	2
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	5	5	3	2	0	5
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	<b>3</b>	<b>21</b>	<b>24</b>	<b>21</b>	<b>3</b>	<b>0</b>	<b>2.43</b>

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Jan-22	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable
2	Feb-22	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable

3	Mar-22	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable
	<b>Grand Total</b>	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable
2	2022-23	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable
3	2023-24	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable
4	2023-25	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable

	<b>Grand Total</b>	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable	To be updated in due course as applicable
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