

### Complaints Data - Investment Advisory

Data for every month ending – November 2025

SN	Received from	Pending at the end of last month	Received during the month	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0 days
2	SEBI (SCORES 2.0)	0	0	0	0	0	0 days
4	Other Sources (if any)	0	0	0	0	0	0 days
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Apr-25	0	0	0	0
2	May-25	0	0	0	0
3	Jun-25	0	0	0	0
4	Jul-25	0	01	0	01
5	Aug-25	01	02	01	02
6	Sep-25	02	03	05	0
7	Oct-25	0	0	0	0
8	Nov-25	0	0	0	0
9	Dec-25	0	0	0	0
10	Jan-26	0	0	0	0
11	Feb-26	0	0	0	0
12	Mar-26	0	0	0	0
Grand Total		03	06	06	03

\* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous Year	Received	Resolved*	Pending#
1	2021-22	0	0	0	0
2	2022-23	0	02	02	0
3	2023-24	0	04	02	02
4	2024-25	02	01	03	0
5	2025-26 <sup>1</sup>	0	06	06	0
	<b>Grand Total</b>	<b>02</b>	<b>13</b>	<b>13</b>	<b>02</b>

\* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

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<sup>1</sup> Count provided as on November 30, 2025