

Complaints Data - Investment Advisory

<u>Data for every month ending – September 2025</u>

SN	Received from	Pending at the end of last month	Received during the month	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0 days
2	SEBI (SCORES 2.0)	02	03	05	0	0	14 days
4	Other Sources (if any)	0	0	0	0	0	0 days
5	Grand Total	02	03	05	0	0	14

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.



Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Apr-25	0	0	0	0
2	May-25	0	0	0	0
3	Jun-25	0	0	0	0
4	Jul-25	0	01	0	01
5	Aug-25	01	02	01	02
6	Sep-25	02	03	05	0
7	Oct-25	0	0	0	0
8	Nov-25	0	0	0	0
9	Dec-25	0	0	0	0
10	Jan-26	0	0	0	0
11	Feb-26	0	0	0	0
12	Mar-26	0	0	0	0
	Grand Total	03	06	06	03

^{*} Inclusive of complaints of previous years resolved in the current year.

[#] Inclusive of complaints pending as on the last day of the month.



Trend of annual disposal of complaints

SN	Year	Carried forward from previous Year	Received	Resolved*	Pending#
1	2021-22	0	0	0	0
2	2022-23	0	02	02	0
3	2023-24	0	04	02	02
4	2024-25	02	01	03	0
5	2025-26 ¹	0	06	06	0
	Grand Total	02	13	13	02

^{*} Inclusive of complaints of previous years resolved in the current year.

[#] Inclusive of complaints pending as on the last day of the year.

¹ Count provided as on September 30, 2025