BANK ACCOUNT ADDITION / MODIFICATION FORM

Correspondence Add: AFL House, 4th Floor, Lok Bharti Complex, Marol Marosi Road, Andheri East, Mumbai - 400 059

YES SECURITIES

Application No.: ____________________

UCC ☐☐☐☐☐☐☐☐☐ DP ID ☐☐☐☐☐☐☐☐ DP Client ID ☐☐☐☐☐☐☐☐

Date ☐☐☐☐☐☐☐☐

To,

YES Securities (India) Ltd.
AFL House, 4th Floor, Lok Bharti
Complex, Marol Maroshi Road,
Andheri (E), Mumbai – 400 059.

Dear Sir/Madam,
I / We hereby request you to change or add my / our Bank account as per details given below (please tick appropriate option to make necessary changes):

I want to (tick one) ☐ Update Bank Account ☐ Add additional Bank Account

Change to be made in (tick one) ☐ Trading Account ☐ Demat Account ☐ Both

Bank Account Details :

Particulars	Existing	New
Name		
Bank Name		
Type of A/c (Please tick one):	☐ Savings ☐ Current	☐ Savings ☐ Current
Bank Account No.		
MICR		
IFSC Code		
Bank Branch Address:		
Set as Primary Account (Please Tick)	☐	☐
YES BANK Cust ID (if any)		

Notes:

- Kindly attach a **personalized cancelled cheque** leaf OR **latest bank statement** for the bank account being updated.
- The cancelled cheque OR bank statement must be signed by the primary account holder.
- Please tick Trading Account, Demat Account, or Both. If nothing is ticked, it will be considered for both Trading and Demat Account.
- Please tick Update Bank Account or Add Additional Bank Account. If nothing is ticked, it will be considered for Update Bank Account.

X____________________________

Signature of Primary A/c Holder

Place: ____________________

This document is voluntary. However, the same is required to be executed by the customers in order to avail of seamless trading platform with integrated bank account, demat account and broking account. If you do not wish to use the services of YES Bank Limited and YES Securities (India) Limited, you need not to execute this document.

To,

YES Bank Limited

CC:

YES Secuirities (India) Limited

Dear Sir/ Madam,

Sub: Request to add a mandate holder.

The person(s) specified in the **Schedule [A]** hereto ("**the Bank Account Holder(s)**") hold bank account(s), details of which are more particularly mentioned in **Schedule [A]** hereto under title "Bank Account Holder Details" ("**the Bank Account(s)**"), with YES Bank Limited ("**the Bank**").

The person(s) specified in Schedule [B] hereto ("the Client") is/are desirous of investing and/or trading in securities/commodity, in cash and/ or derivative segment's, making investments and entering into various transactions with YES Securities (India) Limited, a company incorporated under the provisions of the Companies Act, 1956 and existing under the provision of the Companies Act, 2013, being a Securities Exchange Board of India registered Trading Member having SEBI Single Registration No. - INZ000185632), having its registered office at 2nd Floor, North Side, YES BANK House, Off Western Express Highway, Santacruz (East), Mumbai – 400 055 and having an office, inter alia, at Part Gr. & 1st Floor, T. S. No. 60 & 61, Block No. 10, Door No. 24, Ambalathadayar Madam Street, Puducherry (Pondicherry) - 605 001 ("**the Stock Broker**").

To facilitate proper execution and seamless experience of all the transactions executed by the Client in its trading account with the Stock Broker ("the Trading Account") and for availing various services offered by the Stock Broker and to give effect to all the terms and conditions as specified in the Trading Account opening form/other documents pertaining to the Trading Account, the Bank Account Holder(s) is/are hereby appointing the Stock Broker as his/her/their mandate holder in respect of Bank Account(s).

The Bank Account Holder(s), do hereby, jointly and severally, request the Bank to register the Stock Broker as a mandate holder to operate the Bank Account(s) for and on behalf of the Bank Account Holder(s) and authorise the Stock Broker to operate the Bank Account(s) for the following purposes:

1. To transfer the funds from the Bank Account(s)
   i) for meeting the settlement obligations/margin requirements in connection with the trades executed by the Client on the exchanges through the Stock Broker;
   ii) for recovering any outstanding amount due from the Bank Account Holder(s)/the Client arising out of trading activities on the exchanges through the Stock Broker;
   iii) for meeting obligations arising out of the Bank Account Holder(s)/the Client applying/subscribing to any other services as offered by the Stock Broker; and
   iv) for application towards monies/fees/charges, etc., due to the Stock Broker/principal payable by virtue of the Bank Account Holder(s)/the Client using/ subscribing to any service's offered by the Sock Broker, availed by the Client.

2. To, inter alia, block and/or hold and/or create lien on all or any of the monies lying in the Bank Account(s) or in such other manner as the Stock Broker may deem fit, without any further instructions and/or to transfer all the said monies to the bank account of the Stock Broker or in accordance with the instructions of the Stock Broker into the bank accounts of the Stock Broker as listed in Annexure A hereto;

The Bank Account Holder(s), do hereby, jointly and severally, agree and undertake that the instructions given by the Stock Broker to the Bank to block the funds in the Bank Account(s) in connection with a transaction done by the Client(s) across the exchanges/products as offered by the Stock Broker and availed by the Client(s) shall be given priority over any other subsequent instructions given or issued by the Bank Account Holder(s).

The funds once blocked as per the instructions of the Stock Broker in connection with a transactions across the exchanges/products offered by the Stock Broker entered or to be entered into by the Client/Bank Account Holder(s) with or through the Stock Broker shall be released at the discretion of the Stock Broker and as per the instructions of the Stock Broker to the Bank.

The Stock Broker shall return to the Bank Account Holder(s) the funds that may have been received by it erroneously or that it was not entitled to receive and vice versa.

The instructions contained herein may be revoked by the Bank Account Holder(s) at any time by giving a notice in writing to the Stock Broker and the Bank. The revocation/ termination of the authorization herein shall in no way affect the validity of the authorizations herein with reference to any transaction(s) initiated by the Client/Bank Account Holder(s) or the Stock Broker pursuance to the authorizations herein, prior to the actual receipt by the Stock Broker and the Bank of intimation of such revocation or termination, as provided hereinabove. In case of multiple Bank Account Holder(s), the revocation of the authorizations herein by one of the Bank Account Holder(s) shall not revoke the authorizations herein with respect to the other Bank Account Holder(s).

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(Sole / First Account Holder)

The Bank Account Holder(s) understand that the authorizations herein do not impose a duty on the Stock Broker to exercise any authorizations granted hereunder. The Stock Broker may at it sole and absolute discretion without being liable to the Client/Bank Account Holder(s) in any manner whatsoever may not exercise any authorizations granted hereunder and the Bank Account Holder(s) absolutely, unconditionally and irrevocably agree(s) and undertake(s) that the Stock Broker shall not be liable to the Client/Bank Account Holder(s) for any loss/damage which the Client/Bank Account Holder(s) may suffer due to the Stock Broker exercising/not exercising any authorizations granted to it hereunder.

The Bank Account Holder(s) understand(s) that anything the Stock Broker may do in the exercise of such rights and powers hereunder shall be fully binding upon the Bank Account Holder(s). The Bank Account Holder(s) specifically agree(s) that any matter or issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws.

The Bank Account Holder(s) hereby irrevocably and unconditionally agree and undertake that the Bank is hereby authorized to follow the instructions of the Stock Broker with respect to the operation of the Bank Account(s) as per the instructions contained herein without seeking any further authority/ confirmation/direction from the Bank Account Holder(s). All the operations/ transactions into the Bank Account(s) as per the instructions of the Stock Broker shall be as good, valid and effectual to all intents and purposes whatsoever as if the same had been done by the Bank Account Holder(s) in his/ her/their own name and person.

The Bank Account Holder(s) shall, jointly and severally, at all the times keep the Bank and each of its officers, directors, employees, representatives, attorneys and agents (“**the Indemnified Party**”) fully indemnified from and hold each of them harmless for any and all liabilities, obligations, losses, damages, penalties, actions, judgments, suits, costs, expenses of any kind or nature whatsoever which may be imposed upon, incurred by or asserted against the Indemnified Party and hold each Indemnified Party harmless in any way in connection with or arising out of the negotiation, execution and/or performance of these presents. This Indemnity shall survive the revocation of the instructions contained herein and closure of the Bank Account(s).

Schedule A - YES BANK Account details

Account Type:	SA ☐ CA ☐	Account Number:
MICR Code:		IFSC Code:
Branch Address:		

Bank A/c Holder(s)	Name	Signature
1st Holder		2
2nd Holder		SH-1
3rd Holder		TH-1

Schedule B - Client's Details

Client Code:	
Name of the Client:	
Address:	

Annexure A - List of YES Securities (India) Ltd., Bank and Demat accounts.

Bank Name	YES BANK Limited
Account No	041987400000013, 041987400000032, 041983000000028, 041983000000343, 041983200000070

Bank Name	AXIS BANK LTD
Account No	913020043300663, 913020043310181, 913020043260510

Bank Name	ICICI BANK LTD
Account No	000405121760, 000405121767, 000405123238

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(Sole / First Account Holder)