



YES Securities (India) Ltd.

AFL House, 4th Floor, Lok Bhari Complex, Marol Maroshi Road, Andheri (E), Mumbai- 400 059

DP ID : 12094600 • DP SEBI Reg. No.: IN-DP-653-2021

PLEDGE REQUEST FORM (PRF)

☐ Setup of Pledge ☐ Confirmation of Pledge • ☐ Rejection of Pledge

Depository Participant Name /Address

Please fill all the details in **Block Letters** in English

PRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details											
DP ID										Client ID	
Pledgor's Name	1. 2. 3.										

Pledgee's Details											
DP ID										Client ID	
Pledgee's Name	1. 2. 3.										

Details of Securities: ☐ Free Securities ☐ Locked-in Securities

Details of Securities offered for Pledge.

Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y
Pledge Reason [tick mark whichever is applicable]	Collateral -Debt I issuance by Co./Grp Co. <input type="checkbox"/>	Collateral for loan by Company/Gro up Co <input type="checkbox"/>	Collateral for loan by the Third Party <input type="checkbox"/>	Margin Pledge/MTF for Exchange Trade <input type="checkbox"/>	Personal use by promoters and PACs <input type="checkbox"/>			
PAN of the Ultimate Lender :								

Annexure -8.5

Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable]	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.
If you have selected 'Others', then please specify the exact reason for rejection		

To be filled and signed in case of Set-up/Rejection of Pledge by Pledgor BO

Signature of the Pledgor		
First/ Sole Applicant	Second Applicant	Third Applicant

To be filled in case of acceptance/rejection of Pledge by Pledgee BO

Signature of Pledgee(s) *

First Holder	Second Holder	Third Holder

* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

Depository Participant Seal and Signature