

Dear Customer,

Greetings from YES SECURITIES!

This is in reference to SEBI circular no.: SEBI/MRD/SE/Cir.42/2003 dated 19/11/2003 on the captioned subject.

Any stock broker carrying out Proprietary (PROP) trading in its account besides carrying out trades for and on behalf of its clients is required to inform its clients about the same.

In view of above, we would like to inform you that YES SECURITIES is intending to carryout Proprietary trading w.e.f. June 15, 2020 in its own account. Further, the Company shall also be disseminating the same on its website [www.yesinvest.in](http://www.yesinvest.in)

This communication is only for your information purpose and does not impact your trading account in any way.

Sincerely,

**YES Securities (India) Limited**

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[www.yesinvest.in](http://www.yesinvest.in)

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✉: [customer.service@ysil.in](mailto:customer.service@ysil.in)

**YES Securities (India) Limited** Registered Address: 2nd Floor, North Side, YES BANK House, Off Western Express Highway, Santacruz East, Mumbai - 400055. Maharashtra, India | Correspondence Add: 4th Floor, AFL House, Lok Bharti Complex, Marol Maroshi Road, Andheri East, Mumbai - 400059 | Website: [www.yesinvest.in](http://www.yesinvest.in) | Email ID: [customer.service@ysil.in](mailto:customer.service@ysil.in)/[igc@ysil.in](mailto:igc@ysil.in) Registration Nos.: CIN: U74992MH2013PLC240971 | SEBI Registration No.: NSE, BSE, MCX & NCDEX : INZ000185632 | Member Code: BSE – 6538, NSE – 14914, MCX – 56355 & NCDEX - 1289 | CDSL & NSDL: IN-DP-653-2021 | MERCHANT BANKER: INM000012227 | RESEARCH ANALYST: INH000002376 | INVESTMENT ADVISER: INA000007331 | Sponsor and Investment Manager to YSL Alternates Alpha Plus Fund (Cat III AIF) SEBI Registration No.: IN/AIF3/20-21/0818 | AMFI ARN Code – 94338 | Details of Compliance Officer: Aditya Goenka: Email id: [compliance@ysil.in](mailto:compliance@ysil.in) / Contact No: 022-65078127.

**Disclaimer:** Investment in securities market are subject to market risks, read all the related documents carefully before investing. Brokerage will not exceed the SEBI /Exchanges prescribed limit.