

Policy to Voluntarily Freeze /Blocking, Unfreeze/ Unblock the online access

This is in line with the SEBI Circular No. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024, and Exchange Circular No. NSE/INSP/60277 dated January 16, 2024, "Ease of Doing Investments by Investors - Facility of voluntary freezing/ blocking of Trading Accounts by Clients"

To address suspicious activities in client accounts the Company / YSIL is providing a voluntary freezing/blocking the online access to its client account based on their specific request.

Steps to Freeze Account

The Clients can send a voluntary freezing/blocking of the online access request of their account via –

- a. Sending an email from its registered email ID to stoptrade@ysil.in with the reason thereto
- b. Can call through registered mobile no to our Customer Desk on 022-68841888 on working days *between 9:00 am to 5:00 pm*

Kindly note:

ONLY Upon successful verification, the request will be taken on record and the client account will be freeze in 15 minutes with a confirmation mail on the client's registered email ID and mobile no. "stating that the online access to the trading account has been frozen/blocked and all the pending orders in the client's trading account, if any, have been cancelled along with the process of re-enablement for getting the online access to the trading account."

Details of all open positions (if any) along with contract specifications will be communicated to the client within one hour of the freezing/blocking of the trading account.

Impact on Freezing Account

1. Fresh Online order placement, Investment Related Trades, SIP, and IPO applications will not be permitted while the account is in freeze mode
2. All open order(s) will be CANCELLED
3. Online Profile updating or any modifications will be disallowed
4. SIP Orders registered by the client:
 - E - SIP registered:** Post processing the freeze request & account status marked as "Freeze", all the registered SIPs with the future trigger dates will be "Paused". In case of "Pause" functionality not available then the SIP will be "Cancelled". On the successful processing of the unfreeze request, the SIP with the status as "Paused" will be "resumed" & with the status as "Cancelled" will be restarted.
 - MF – SIP registered** on the Exchange (BSE Star MF): The MF SIP registered on BSE Star MF platform remain to continue as per the client per the SIP schedule, as the same are triggered by the Exchange & the funds are directly debited from the client's mapped bank account.

Kindly Note:

Freezing/blocking is only for online access to the client's trading account, and there shall be no restrictions on the Risk Management activities of the Trading Member.

Steps to Unfreeze Account

Re-enabling the client for online access to the trading account: -

The Client can send a Re-enabling online access request of their trading account via –

- a. Sending an email from its registered email ID to stoptrade@ysil.in to re-enabling their trading account
- b. Can call through registered mobile no to our Customer Desk on 022-68841888 on working days *between 9:00 am to 5:00 pm*

Kindly Note:

The Company / YSIL will re-enable the online access of the client trading account after carrying out necessary due diligence including validating the client request and unfreezing / unblocking the online access of the trading account