

Voluntary Freezing/ Blocking the online access of the trading account to their clients on account of suspicious activities

SEBI vide Circular No. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024), has prescribed framework for Framework for Trading Members to provide the facility of voluntary freezing/ blocking the online access of the trading account to their clients on account of suspicious activities

The framework for Trading Members for providing the facility of voluntary freezing/ blocking the online access of the trading account to their clients on account of suspicious activities as finalized by the Brokers' Industry Standards Forum in consultation with the Exchanges

On receiving request to voluntary freeze or block of account

- 1. Account will be Freeze within 15 minutes of request received
- 2. Any Pending Order(s) including all Investment Related Trades, SIP and IPO orders will be cancelled
- 3. Open position if any with contract expiry will be informed
- 4. Client will not be able to trade **ONLINE** and **OFFLINE** once the request to voluntary freeze or block of account is received and validated for further process

Unfreeze/ Unblock the client for online access of the trading account

Kindly Note:

The company / YSIL will re-enable the online access of the client trading account within one day from the date of request received after carrying out necessary due diligence including validating the client request and unfreezing / unblocking the online access of the trading account