

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U74992MH2013PLC240971

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACY6065C

(ii) (a) Name of the company

YES SECURITIES (INDIA) LIMITE

(b) Registered office address

2nd Floor, North Side, YES Bank House,  
Off WEH, Santacruz East,  
Mumbai  
Mumbai City  
Maharashtra  
400055

(c) \*e-mail ID of the company

swanand.shintre@ysil.in

(d) \*Telephone number with STD code

02265078145

(e) Website

www.yesinvest.in

(iii) Date of Incorporation

14/03/2013

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(b) CIN of the Registrar and Transfer Agent

L72400TG2017PLC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda, Serili ngampally, Hyderabad  
Rangareddi, Telangana - 500032

(vii) \*Financial year From date 01/04/2022 (DD/MM/YYYY) To date 31/03/2023 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 26/06/2023

(b) Due date of AGM 22/09/2023

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	YES BANK LIMITED	L65190MH2003PLC143249	Holding	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	200,000,000	80,000,000	80,000,000	80,000,000
Total amount of equity shares (in Rupees)	2,000,000,000	800,000,000	800,000,000	800,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	200,000,000	80,000,000	80,000,000	80,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	2,000,000,000	800,000,000	800,000,000	800,000,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	6	79,999,994	80000000	800,000,000	800,000,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div>0</div>				0	0	
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div>0</div>				0	0	
<b>At the end of the year</b>	6	79,999,994	80000000	800,000,000	800,000,000	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div>0</div>				0	0	
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div>0</div>				0	0	
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

☒ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

2,186,634,900

**(ii) Net worth of the Company**

1,247,173,333

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	80,000,000	100	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others 0	0	0	0	
	<b>Total</b>	80,000,000	100	0	0

**Total number of shareholders (promoters)**

7

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others 0	0	0	0	



	<b>Total</b>	0	0	0	0
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**Total number of shareholders (other than promoters)**

0

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

7

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

<b>Details</b>	<b>At the beginning of the year</b>	<b>At the end of the year</b>
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

<b>Category</b>	<b>Number of directors at the beginning of the year</b>		<b>Number of directors at the end of the year</b>		<b>Percentage of shares held by directors as at the end of year</b>	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	3	6	2	7	0	0
(i) Non-Independent	3	3	2	3	0	0
(ii) Independent	0	3	0	4	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>6</b>	<b>2</b>	<b>7</b>	<b>0</b>	<b>0</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

11

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Prashant Kumar	07562475	Director	0	
Mr. Arun Kumar Mago	01624833	Director	0	
Mr. Manoj Fadnis	01087055	Director	0	
Ms. Chitra Andrade	08090478	Director	0	
Mr. Ram Rastogi	07063686	Director	0	
Mr. Rajan Pental	08432870	Director	1	
Mr. Sumit Kumar Gupta	08925223	Director	1	
Ms. Amishi Kapadia	09002674	Managing Director	0	
Mr. Anshul Arzare	07585882	Managing Director	0	
Mr. Abhijeet Guin	ACJPG3966C	CFO	0	
Mr. Swanand Shintre	BLJPS5016F	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mr. Vaibhav Purohit	BBUPP1811N	Company Secretar	01/07/2022	Cessation
Mr. Prasanth Prabhakar	06887880	Managing Director	09/11/2022	Cessation
Mr. Ram Rastogi	07063686	Additional director	19/07/2022	Appointment
Mr. Swanand Shintre	BLJPS5016F	Company Secretar	04/08/2022	Appointment
Ms. Amishi Kapadia	09002674	Managing Director	09/11/2022	Re designated as Joint MD & CEO
Mr. Anshul Arzare	07585882	Managing Director	09/11/2022	Re designated as Joint MD & CEO

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	22/06/2022	7	7	100
Extra Ordinary General Meeting	18/10/2022	7	6	100

## B. BOARD MEETINGS

\*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/04/2022	9	9	100
2	19/07/2022	9	8	88.89
3	15/09/2022	10	10	100
4	18/10/2022	10	10	100
5	17/01/2023	9	9	100

## C. COMMITTEE MEETINGS

Number of meetings held

11

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	12/04/2022	4	4	100
2	Audit Committee	25/04/2022	4	4	100
3	Audit Committee	18/07/2022	4	4	100
4	Audit Committee	17/10/2022	4	4	100
5	Audit Committee	16/01/2023	4	4	100
6	Nomination and Remuneration Committee	25/04/2022	3	3	100
7	Nomination and Remuneration Committee	27/05/2022	3	3	100
8	Nomination and Remuneration Committee	18/07/2022	3	3	100
9	Corporate Social Responsibility Committee	25/04/2022	3	3	100
10	Corporate Social Responsibility Committee	17/10/2022	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	26/06/2023
								(Y/N/NA)
1	Mr. Prashant K	5	5	100	0	0	0	Yes
2	Mr. Arun Kuma	5	5	100	10	10	100	Yes
3	Mr. Manoj Fad	5	5	100	11	11	100	Yes
4	Ms. Chitra And	5	5	100	5	5	100	Yes
5	Mr. Ram Raste	3	3	100	1	1	100	Yes
6	Mr. Rajan Pen	5	5	100	3	3	100	Yes
7	Mr. Sumit Kum	5	4	80	5	5	100	Yes
8	Ms. Amishi Ka	5	5	100	0	0	0	No
9	Mr. Anshul Arz	5	5	100	1	1	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**
☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ms.Amishi Kapadia	Joint Managing I	██████████	0	0	██████████	██████████
2	Mr. Anshul Arzare	Joint Managing I	██████████	0	0	██████████	██████████
3	Mr. Prasanth Prabha	Managing Direct	██████████	0	0	██████████	██████████
	Total		██████████	0	0	██████████	██████████

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Abhijeet Guin	Chief Financial C	██████████	0	0	██████████	██████████
2	Mr. Swanand Shintr	Company Secre	██████████	0	0	██████████	██████████

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	Mr. Vaibhav Purohit	Company Secre		0	0		
	Total			0	0		

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Arun Kumar Ma	Independent Dir	0	0	0		
2	Mr. Manoj Fadnis	Independent Dir	0	0	0		
3	Ms. Chitra Andrade	Independent Dir	0	0	0		
4	Mr. Ram Rastogi	Independent Dir	0	0	0		
	Total		0	0	0		

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Umashankar Hegde

Whether associate or fellow



Associate



Fellow

Certificate of practice number

11161

#### I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

#### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ..

XII

dated

19/07/2022

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

ANSHUL ARZARE  
Digitally signed by ANSHUL ARZARE  
Date: 2023.07.31 17:56:10 +05'30'

DIN of the director

07585882

#### To be digitally signed by

SWANAND RAVINDRA SHINTRE  
Digitally signed by SWANAND RAVINDRA SHINTRE  
Date: 2023.07.27 16:32:58 +05'30'

☒ Company Secretary

☐ Company secretary in practice

Membership number

28744

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

**Attach****Attach****Attach****Attach****List of attachments**

Shareholders-MGT\_7.pdf  
Details of Committee Meetings.pdf  
Clarification - Shareholding.pdf  
MGT 8 - YSL\_FINAL 22-23.pdf

**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id Account Number	Number of Shares held	Class of Shares
YES BANK LIMITED				IN30327010037180	79,999,994	Equity share
ANURAG		ADLAKHA	YSL0000014			1 Equity share
SUMIT		GUPTA	YSL0000016			1 Equity share
RAJAN		PENTAL	YSL0000017			1 Equity share
NIRANJAN		BANODKAR	YSL0000018			1 Equity share
ASHISH		CHANDAK	YSL0000019			1 Equity share
ANITA		PAI	YSL0000020			1 Equity share



## Clarification

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With respect to details mentioned under the heading “**VI. (a) \*SHARE HOLDING PATTERN - Promoters**”

The Total Number of Shareholder (Promoters) disclosed as 7 comprised of YES Bank Limited, Holding Company and Six Individuals who holds 1 Equity Share each as a nominee of YES Bank Limited.

\*\*\*\*\*

**IX. MEETINGS OF MEMBERS / CLASS OF MEMBERS / BOARD / COMMITTEES OF THE BOARD OF DIRECTORS**

**C. COMMITTEE MEETINGS.**

Number of meetings held - 11

<b>S. No.</b>	<b>Type of meeting</b>	<b>Date of meeting</b>	<b>Total Number of Members as on the date of the meeting</b>	<b>Attendance</b>	
				<b>Number of members attended</b>	<b>% of attendance</b>
11	IT Strategy Committee	16/01/2023	3	3	100

# U. HEGDE & ASSOCIATES

## COMPANY SECRETARIES

### FORM NO. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

I, have examined the registers, records and books and papers of **YES SECURITIES (INDIA) LIMITED (CIN- U74992MH2013PLC240971)** ("**the Company**") having its registered office situated at 2nd Floor, North Side, YES Bank House, Off WEH, Santacruz East, Mumbai - 400055 as required to be maintained under the Companies Act, 2013 ('the Act') and the rules made thereunder for the financial year ended on March 31, 2023. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to us, by the Company, its officers, I certify that:

A. the annual return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. during the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:

1. It's status under the Act i.e. : A Non-Government Unlisted Public Company, Limited by shares;
2. Maintenance of registers/records & making entries therein within the time prescribed thereof;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court or other authorities~~ within/beyond the prescribed time wherever required;
4. Calling/convening/holding meetings of Board of Directors or its Committees ~~if any~~, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot, if any~~, have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed ;
5. Closure of register of members/Security holders, as the case may be: **Not applicable.**
6. The Company has not made any advances or given loans to its directors and/or persons or firms or companies referred in section 185 of the Act ;
7. All the contracts/arrangements with related parties as specified in section 188 of the Act were entered at arm's length and in ordinary course of business.

8. Issue, and allotment, transfer or transmission of securities, redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities and issue of security certificates in the said instances; **Not Applicable** :
9. The Company was not required to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. Declaration /payment of dividend; transfer of unpaid /unclaimed dividend / other amounts as applicable to the Investor Education and Protection Fund in accordance with the provisions of the Companies Act, 2013, read with its applicable rules (to the extent notified) and otherwise the corresponding provisions of the Companies Act 1956 and rules thereunder: **Not Applicable**
11. The audited financial statements for the financial year ended March 31,2023 were signed as per the provisions of section 134 of the Act and report of directors for the year ended March 31,2023 was as per sub - sections (3), (4) and (5) thereof;
12. The constitution/ appointment / re-appointments / retirement ~~/filling up casual vacancies~~/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them was in accordance with provisions of the Act;
13. The appointment/reappointment/filling up casual vacancies of auditors, during the financial year was as per the provisions of section 139 of the Act:- **Not Applicable**
14. No approvals were required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.
15. During the financial year, the Company did not accept any deposit, nor it has renewed any deposits and further there was no requirement to repay deposits.
16. During the year, the Company did not borrow from its directors, members, however the Company has borrowed from, banks and others and accordingly the Company has created the charge wherever applicable & modified the existing charge in that respect, and further Company has satisfied the charge, wherever applicable.

*U. HEGDE & ASSOCIATES*  
COMPANY SECRETARIES

17. During the year, the Company has not made any loans, given guarantees or provided securities to other bodies corporate or person and further there were no investments made by the Company during the year, requiring compliance of provisions of Section 186 of the Act and rules made thereunder.
18. During the financial year, the Company has not made any alteration in the Memorandum of Association and Articles of Association of the Company.

**For U. HEGDE & ASSOCIATES  
COMPANY SECRETARIES**

**(UMASHANKAR K. HEGDE)  
PROPRIETOR  
M.NO- A22133 # COP- 11161**

UMASHANKAR  
KRISHNA HEGDE  
HEGDE

Digitally signed by  
UMASHANKAR  
KRISHNA HEGDE  
Date: 2023.07.27  
12:37:18 +05'30'

Place: Mumbai  
Date: 27/07/2023

**ICSI UDIN: A022133E000686665**