

Dear Customer,

Greeting from YES Securities (India) Limited!

This is with reference to SEBI Circular(s) dated February 25, 2020, May 25, 2020 and May 29, 2020 on the captioned Subject and our earlier communication sent on May 27, 2020, May 30, 2020, June 1, 2020, June 10, 2020 and on June 20, 2020.

In this regard, we would like to inform you that, w.e.f August 1, 2020, the Collection and Reporting of Margin Obligations functionality changes will be implemented at the Backend for Margin reporting purpose in all segments, as per details shared below:

- ✓ As per recent regulatory guidelines, the stocks lying in your personal Demat account will not be considered for margin Reporting from August 1, 2020 onwards
- ✓ Never Till July 31, 2020, collection of Margin will be done based on client stocks given in YSL collateral account, client stocks lying in YSL Pool Account, client stock lying in the POA account and YSL Client Unpaid Securities Account (CUSA) and any obligation towards margin shortfall will be collected from your linked bank account
- ✓ W.e.f August 1, 2020, collection of Margin will be done based on stocks available in YSL Client Securities Margin Pledge Account, YSL Pool Account, YSL Client Unpaid Securities Account (CUSA) and any obligation towards margin shortfall will be collected from your linked bank account
- ✓ Client shall ensure that sufficient fund(s) is/are available to meet Exchange Margin requirement(s) to avoid margin shortfall penalty and any exchange penalty levied on margin shortfall will continue to be passed onto the client(s) as per prevailing norms
- ✓ Till July 31, 2020 Client can give request for transfer of shares to YSL collateral account for meeting Exchange Margin requirement(s) in a specified format by providing prior Authorization for the same. [View Format](#)
- ✓ The transfer of shares to YSL collateral account will be valid till July 31, 2020. Thereafter the new Pledge/Unpledge system will get introduced in line with the applicable regulatory guidelines.

To view relevant SEBI circulars, please click on the links shared below:

- ✓ [Circular dated February 25, 2020](#)
- ✓ [Circular dated May 25, 2020](#)
- ✓ [Circular dated May 29, 2020](#)

In case of any queries or further assistance, please contact your Dealing RM or write to us customer.service@ysil.in

Sincerely,

YES Securities (India) Limited