

CORPORATE SOCIAL RESPONSIBILITY (CSR) POLICY

YES Securities (India) Limited

Effective from July, 2017

(To Be recommended by the CSR Committee to the Board for approval)

602-A, 6th Floor, Tower 1 & 2, IFC, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400013

Version 2.0

Prepared by:
Company Secretarial Department

Approved By: Board of Directors

Date: July, 2017
Date: April, 2019

Corporate Social Responsibility Policy

CSR at YES SECURITIES

YES Securities aims to enhance value creation and is committed towards playing a larger role in India's sustainable development by embedding wider economic, social and environmental objectives. The Company being a subsidiary of YES BANK Ltd, this Policy Statement is in line with the policy adopted by its parent

Vision - Be the benchmark financial institution for inclusivity and sustainability

Mission - Link CSR and sustainable development with stakeholder value creation through innovative solutions and services & Weave sustainability principles into core business strategy and processes

Deliverables - Deliver internal and external positive socio-environmental impact

Approach towards Corporate Social Responsibility:

To fulfil its CSR objectives through the following broad level actions and investing in focus areas:

- **Promote** principles of social responsibility and inclusive growth through awareness and support.
- **Invest** in socially and environmentally responsible activities to create positive impact.
- **Engage** with stakeholders to further the sustainability agenda of the company and empower with knowledge.
- **Collaborate** with likeminded institutions and forge partnerships towards addressing the needs of the stakeholders.
- **Monitor** the environmental and social investment of YES SECURITIES through structured governance and transparent performance indicators.

Our Focus areas -

- Livelihood security and enhancement
 - Education
 - Skills/ Employability training
- Healthcare and Social welfare
- Environment Sustainability
 - Arts/Sports and culture

Delivery and implementation -

- The project plan for the initiatives will include mapping to the focus areas with clear measurable and modalities.
- This plan will be approved by the CSR Committee (Annexure-1).

Monitoring -

- For effective implementation of the CSR initiatives undertaken, a monitoring mechanism will be set up under the guidance of the CSR Committee.
- The progress of CSR activities under implementation will be reported to the Committee, on a periodic basis.'
- An yearly report on CSR activities will be included in YES SECURITIES's Annual Report.
- The surplus arising out of the CSR activities will not be part of business profits of YES SECURITIES

Life of policy -

- Effective from the date of approval until further notice.

Applicability -

- Applies to YES SECURITIES's corporate offices and branch locations within India.

General -

- In case of any ambiguity or clarification with regard to any provision of YES SECURITIES's CSR Policy and in respect of any matters not covered herein, a reference may be made to the CSR Committee of YES SECURITIES. In all such matters, the interpretation and decision of the Committee shall be final.
- The provisions of the CSR Policy would be subjected to revision/ amendment in accordance with the guidelines on the subject as may be issued by Government, from time to time.
- YES SECURITIES reserves the right to amend, modify, cancel, add, or alter any provisions of this Policy subject to approval of the Board.