

## YES Securities (India) Limited Various Product Disclaimers

### Table of Contents

- ✓ [ABOUT YES Securities \(India\) Limited -and Standard Disclaimer](#)
- ✓ [Learning Academy \(LA\) Disclaimer](#)
- ✓ [Portfolio Investment Advisory \(PIA\) Disclaimer](#)
- ✓ [Thematic Stock Basket \(TSB\) Disclaimer](#)
- ✓ [All Weather Basket \(AWB\) Disclaimer](#)
- ✓ [Margin Trading Facility \(MTF\) Disclaimer](#)
- ✓ [Mutual Funds \(MFs\) Disclaimer](#)



## Learning Academy (LA) Disclaimer

YSL is a wholly owned subsidiary of YES BANK Limited. YSL is a SEBI registered stock broker holding membership of NSE, BSE, MCX & NCDEX. YSL is also a SEBI registered Category I Merchant Banker, Investment Adviser and Research Analyst. YSL offers, inter alia, trading/investment in equity and other financial products along with various value added services. We hereby declare that there are no disciplinary actions taken against YSL by SEBI/Stock Exchanges.

Investments in securities market are subject to market risks, read all the related documents carefully before investing. The Investment education through learning academy is meant solely for the selected recipient and may not be altered in any way, transmitted to, copied or redistributed, in part or in whole, to any other person or to the media or reproduced in any form, without prior written consent of YSL. Investments in securities are subject to market risks and the investor is requested to take into consideration all the risk factors including their financial condition, suitability to risk return profile before investing. Investor should note that past performance is not necessarily a guide to future performance. Actual results may differ materially from those set forth in projections, if any. YSL, its Investment advisers, research analysts, directors, officers, learning academy employees and associates will accept no liabilities for any loss or damage of any kind arising out of the use of investment education. Because of the possibility of human, technical or mechanical error through sources of transmission of Reports/Data/learning content, YSL does not guarantee the accuracy, adequacy, completeness or availability of any information and are not to be held responsible for any errors or omissions or for the results obtained from the use of such information's. The Investment education is not directed to, or intended for display, downloading, printing, reproducing or for distribution to or use by, any person or entity who is a citizen or resident or located in any locality, state, country or other jurisdiction where such distribution, publication, reproduction, availability or use would be contrary to law or regulation or what would subject YSL or its affiliates to any registration or licensing requirement within such jurisdiction. Persons in whose possession this document may come are required to inform themselves of and to observe such restriction. The value and return on investment may vary because of changes in interest rates, foreign exchange rates or any other reasons. Investors should note that each security's price or value may rise or fall and, accordingly, investors may even receive amounts which are less than originally invested. Opinions expressed are our current opinions as of the date appearing on this Investment education. Investor should understand that statements regarding future prospects may not materialize and are of general nature which may not be specifically suitable to any particular investor. No information or opinions expressed constitute an offer, or an invitation to make an offer, to buy or sell any derivative instruments related to such securities. Certain transactions - futures, options and other derivatives as well as securities - involve substantial risks and are not suitable for all investors. Investors may lose his/her entire investment under certain market conditions. Before acting on Investment education, investors should consider whether it is suitable for their particular circumstances. The price and value of investments and the income from them may fluctuate. Foreign currencies denominated securities are subject to fluctuations in exchange rates that could have an adverse effect on the value or price of or income derived from the investment.



### Portfolio Investment Advisory (PIA) Disclaimer

YSL is a wholly owned subsidiary of YES Bank Limited. YSL is a SEBI registered stock broker holding membership of NSE, BSE, MCX and NCDEX. YSL is also a SEBI registered Category I Merchant Banker, Research Analyst and an Investment Adviser. YSL offers, inter alia, trading/investment in equity and other financial products along with various value-added services. We hereby declare that there are no disciplinary actions taken against YSL by SEBI/Stock Exchanges.

Investments in securities market are subject to market risks, read all the related documents carefully before investing. The Investment advice is strictly confidential and meant solely for the selected recipient and may not be altered in any way, transmitted to, copied or redistributed, in part or in whole, to any other person or to the media or reproduced in any form, without prior written consent of YSL. Investments in securities are subject to market risks and the investor is requested to take into consideration all the risk factors including their financial condition, suitability to risk return profile and the like, and take independent professional and/or tax advice before investing. Investor should note that past performance is not necessarily be an indicator to future performance. Actual results may differ materially from those set forth in projections, if any. YSL, its Investment advisers, research analysts, directors, officers, employees and associates will accept no liabilities for any loss or damage of any kind arising out of the use of investment advice. Because of the possibility of human, technical or mechanical error through sources of transmission of Reports/Data, YSL does not guarantee the accuracy, adequacy, completeness or availability of any information and are not to be held responsible for any errors or omissions or for the results obtained from the use of such information's.

The Investment Advice is not directed , or intended to subscribe, for display, downloading, printing, reproducing or for distribution to or use by, any person or entity who is a citizen or resident of or located in any locality, state, country or other jurisdiction where such distribution, subscription, publication, reproduction, availability or use would be contrary to law, regulation or which would subject YSL and/or its affiliates to any registration or licensing requirement within such jurisdiction. The securities described herein may or may not be eligible for sale in all jurisdictions or to certain category of investors. Persons in whose possession this document may come are required to inform themselves of and to observe such restriction.

All Investors are hereby informed that, YSL may independently at its own discretion carry out investments, transactions on its Proprietary Platform through the company's owned funds which may or may not be contrary to the advice on the advisory platform offered by YSL to clients. As per the applicable regulatory guidelines, YSL as an investment adviser shall not enter into transactions on its own account which is contrary to its advice given to clients. Provided that, if YSL is of the opinion that the situation has changed, then it may enter into such a transaction on its own account after giving such revised assessment to the client in advance of entering into such transaction. This disclaimer shall be construed as due prior intimation in advance from YSL to its advisory clients for entering in to opposite transactions by YSL in its proprietary desk at all points of time as and when applicable. The above intimation shall be construed as deemed to be sufficient disclosure in compliance to the above guidelines at all times to all advisory clients.











**Mutual Funds (MFs) Disclaimer**

Mutual Fund investments are subject to market risks, read all scheme related documents carefully. The Net Asset Value (NAV) of the schemes may go up or down depending upon the factors and forces affecting the securities market including the fluctuations in the interest rates. The past performance of the schemes is not necessarily indicative of future performance of the schemes. Review the schemes related documents carefully and obtain expert professional advice with regard to specific legal, tax and financial implications of the investment/participation in the scheme.

--END OF DOCUMENT--